

ABVTEX PROGRAM CHECKLIST - VERSION 5.0

Item V. 4.03	Block	Item V. 5.0	Thematic Block		Criticality Level	Not Applicable (NA)
			1 FORMALIZATION AND DOCUMENTATION			
1.1	BASIC	1.1	Is there evidence that the facility signed, updated and uploaded the Participation Term in the ABVTEX Program?		CRITICAL	
1.2	BASIC	1.2	Is there evidence that the facility is incorporated as a legal entity in accordance with the Brazilian law?		CRITICAL	
1.3	BASIC	1.3	Is there evidence that the facility has an updated CAGED statement or negative RAIS? Or, if the facility is already fully adapted to e-Social, does it present the reports regarding the respective events (S-1200, S-2200, S-2230, and S-2299)?		CRITICAL	
1.4	BASIC	1.4	Is there evidence that the facility corporate status is regular? If the facility does not have workers and is constituted only by partners, is the facility corporate status regular? Does the facility present an updated CAGED or negative RAIS?		CRITICAL	
1.5	BASIC	1.5	Is there evidence that the facility has updated GFIP bill with the name of all workers? Or, if the facility is already fully adapted to e-Social, does it present the reports regarding the respective event (DCTFWeb)?		MAJOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
1.6	BASIC	1.6	Is there evidence that the facility has an Operating License?	The facility has an expired Operating License or exemption, but it has a renewal protocol.	MINOR	The facility is not required to have an Operating License because its activities are classified as 'Low Risk'.
				The facility does not have an Operating License or exemption and it only has a protocol for the first license.	MAJOR	
				The facility does not have an Operating License or exemption, a protocol for renewal, or a protocol for the first license.	CRITICAL	
1.7	BASIC	1.7	Is there evidence that the facility documents are updated (Internal Federal Revenue – Receita Federal, Board of Trade – Junta Comercial, and other competent agencies)?		MINOR	
1.10	BASIC	1.8	Is there evidence that the facility publishes and/or exhibits the Code of Conduct of the ABVTEX Program to its workers?		MINOR	
1.8	SUPERIOR	1.9	Is there evidence that the facility has a valid Health Permit (when applicable)?	The facility has an initial protocol or has an expired Health Permit with a renewal protocol within the deadline.	MINOR	The facility is not required to have a Health Permit, in accordance with the law, or its activities are characterized as 'Low Risk'.
				The facility has an expired Health Permit and an expired renewal protocol.	MAJOR	
				The facility does not have a Health Permit or it has an expired Health Permit without a renewal protocol.	REQUIRED SILVER	
1.9	SUPERIOR	1.10	Is there evidence that the facility has a valid CNDT (Debt Labor Clearance Certificate) of the Labor Court?		REQUIRED SILVER	
NEW	SUPERIOR	1.11	Is there evidence that the facility has a Debt Clearance Certificate (CND – Certidão Negativa de Débitos) relating to the federal taxes, valid at the audit date?		REQUIRED GOLD	
2 WORKING CONDITIONS						
2.1		2.1	Child labor			

2.1.1	BASIC	2.1.1	Is there evidence that the facility, when having apprentice workers aged between 14 and 24 years old, develops the apprenticeship contract in accordance with the legal requirements?	ZERO TOLERANCE	The facility does not have apprentice workers.
2.1.2	BASIC	2.1.2	Is there evidence that the facility does not have under-14 children in its premises? Is there evidence that the facility does not allow minors, who are not part of the workforce, to remain in its premises as a whole?	CRITICAL	
2.1.3	BASIC	2.1.3	Is there evidence that the facility, when having apprentice workers aged between 14 and 18 years old, complies with the labor laws (such as protection against exposure to hazardous and/or unhealthy work, prohibition of night shift work and legal employment contract)?	CRITICAL	The facility does not have underage workers.
2.1.4	SUPERIOR	2.1.4	Is there evidence that the facility complies with the legal conditions for the apprentice workers quota?	MINOR	The facility is not required to have apprentice workers, in accordance with the conditions described in IN-146/2018 (Ministry of Labor).
2.2		2.2	Forced labor or analogous to slave labor		
2.2.1	BASIC	2.2.1	Is there evidence that the facility does not have forced labor or analogous to slave labor?	ZERO TOLERANCE	
2.2.2	BASIC	2.2.2	Is there evidence that the facility does not loan values or retains personal documents in exchange for labor? Do the workers sign blank papers without knowing what the objective is?	ZERO TOLERANCE	
2.2.3	BASIC	2.2.3	Is there evidence that the facility allows free movement of its workers in its premises?	ZERO TOLERANCE	
2.3		2.3	Irregular foreign worker labor		
2.3.1	BASIC	2.3.1	Is there evidence that the facility does not have irregular foreign workers?	ZERO TOLERANCE	The facility does not have foreign workers.
2.3.2	SUPERIOR	2.3.2	Is there evidence that the facility has copies of employment contracts and other contractual documents provided in the native languages of the foreign workers?	MAJOR	The facility does not have foreign workers.
2.4		2.4	Recruitment and selection		
2.4.1	BASIC	2.4.1	Is there evidence that the facility does not require pregnancy tests during the recruitment process?	CRITICAL	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.4.2	BASIC	2.4.2	Is there evidence that the facility, during the recruiting process, provides the workers' previous knowledge on the formal employment conditions, physical premises, and nature of the work, and that there are no special fees or obligations for the recruiters?	CRITICAL	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.4.3	BASIC	2.4.3	Is there evidence that the facility does not employ threats, penalties, coercion and/or physical force as a means for recruiting (Brazilian or foreign) workers?	ZERO TOLERANCE	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.4.4	SUPERIOR	2.4.4	Is there evidence that the facility complies with the legal conditions for PCD worker (disabled people) quota?	MINOR	The facility has less than 100 (one hundred) workers and is not required to comply with the PCD workers quota.
2.4.5	SUPERIOR	2.4.5	Is there evidence that the facility has effective processes to avoid irregular hiring of under-16 workers, disregarding the conditions of the current law for hiring minor apprentice workers?	MAJOR	
NEW	SUPERIOR	2.4.6	Is there evidence that the facility uses employment agencies (outsourced labor agencies) to hire workers and is it in accordance with the legislation?	MINOR	The facility does not use this type of employment or the facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
			Systemic occurrence of violations.	MAJOR	
2.5		2.5	Housing		

2.5.1	BASIC	2.5.1	Is there evidence that the facility does not provide housing for its workers at the same workshop or industrial shed address?	The facility provides housing only for the owner, with no workers residing, integrated into the work environment, such as: semi-detached house, back house on the same land, front house on the same land, house on the same divided land or house within the facility area.	MAJOR	
				The facility provides housing for the employees integrated into the work environment, such as: semi-detached house, back housing on the same land, front housing on the same land, house on the same divided land or house within the facility area.	CRITICAL	
2.5.3	BASIC	2.5.2	Is there evidence that the facility provides housing in accordance with NR-24 and labor law?		CRITICAL	The facility does not provide housing for its workers.
2.6		2.6	Freedom of association			
2.6.1	BASIC	2.6.1	Is there evidence that the facility does not intimidate its workers regarding the right of free association and Collective Bargaining Convention and/or Agreement?		CRITICAL	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.7		2.7	Discrimination			
2.7.1	BASIC	2.7.1	Is there evidence that the facility does not discriminate its workers based on age, ethnicity, sex, sexual orientation, group, religion, politics or any other specific reason?		CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.7.2	BASIC	2.7.2	Is there evidence that the facility provides special treatment to pregnant women in accordance with their individual needs?		CRITICAL	The facility does not have pregnant or lactating women.
2.8		2.8	Abuse and harassment			
2.8.1	BASIC	2.8.1	Is there evidence that the facility has no complaints or any type of harassment from workers, considering the hierarchy?		CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.8.2	BASIC	2.8.2	Is there evidence that the facility asks the consent of the workers to overtime?		CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.8.3	BASIC	2.8.3	Is there evidence that the facility does not adopt abusive disciplinary practices?		MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
NEW	BASIC	2.8.4	Is there evidence that the facility adopts procedures to avoid or mitigate the risk of moral, sexual harassment or violence at work, in accordance with the measures provided for by the Federal Law 14,457/2022?		MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.9		2.9	Salary and compensation			
2.9.1	BASIC	2.9.1	Is there evidence that the facility pays salaries, overtime, DSR (paid week rest), 13th salary, paid leave, vacations, additional premiums (night shift, unhealthy and hazardous work) in accordance with the labor law and Collective Bargaining Agreement?		CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.9.2	BASIC	2.9.2	Is there evidence that the facility complies with the other conditions provided for in the Collective Bargaining Agreement and/or Convention, except for the conditions set forth in item 2.9.1 of the ABVTEX Checklist?		MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.9.3	BASIC	2.9.3	Is there evidence that the facility delivers copies of payslips to its workers?		CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
2.9.4	BASIC	2.9.4	Is there evidence that the facility makes the correct salary deductions, in accordance with the law, or the discounts not provided for by law are agreed with the worker?		CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
NEW	BASIC	2.9.5	Is there evidence that the facility observes and applies salary equality to women who perform the same function, as per the provisions of the Federal Law No. 14,457/22 and other provisions of the CLT (art. 373-A and 461)?		MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.

2.9.6	BASIC	2.9.6	Is there evidence that the facility legally registers all of its workers (CTPS)? If the facility is already fully adapted to e-Social, does it present the reports regarding the respective events (S-2200, S-2205, S-2206, and S-2299)?	CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
2.9.7	BASIC	2.9.7	Is there evidence that the facility has a Certidão de Débitos (Debt Certificate) related to federal tax credits and Dívida Ativa da União (Active Federal Debt), as well as the last 6 (six) paid INSS bills? Or, if the facility does not have a Certidão de Débitos (Debt Certificate), does the facility present the last 12 (twelve) paid INSS bills? Or, if the facility is already fully adapted to e-Social, does it present the reports regarding the respective event (DCTFWeb)?	The facility presented a valid positive certificate, indicating renegotiation of possible debts and punctuality in payments.	MINOR	
				The facility did not present any supporting documentation regarding the regularity of the INSS payment.	CRITICAL	
2.9.8	BASIC	2.9.8	Is there evidence that the facility has a Regular Certificate of FGTS/CRF? Or, to prove its regularity, does the facility present the last 12 (twelve) paid FGTS bills? Or, if the facility is already fully adapted to e-Social, does it present the reports regarding the respective events (S-1200, and S-2299)?	CRITICAL		
2.9.9	BASIC	2.9.9	Is there evidence that the facility fully makes the payments, advances or loans through bank deposit in bank accounts held by the workers?	CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
2.9.10	BASIC	2.9.10	Is there evidence that the facility does not hire workers as legal entities or natural persons (such as MEI, self-employed or otherwise) with employment characteristics as assiduity and subordination, as a form of precariousness of labor relations?	CRITICAL		
2.9.11	BASIC	2.9.11	Is there evidence that the facility correctly makes the termination of employment payment?	CRITICAL		
2.9.12 Unfolded	BASIC	2.9.12	Is there evidence that the facility, when hiring workers under special conditions, such as probation regime, temporary contract, intermittent work, develops contracts in accordance with the law and the Collective Bargaining Agreement? Do the working day and the performance of the activities correspond to the hiring form?	MAJOR	The facility does not hire workers under special conditions.	
2.9.12 Unfolded	BASIC	2.9.13	Is there evidence that, when hiring workers on a trial period, the contracts are in accordance with the law and/or Collective Bargaining Agreement? Do the working hours and activities correspond to the contracting method?	MAJOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
NEW	SUPERIOR	2.9.14	Is there evidence that, if the facility is a participant in the Citizen Facility Program (Programa Empresa Cidadã), provided for in the Law No. 11,770/2018, it adopts the possibility of replacing the extension period of the maternity leave with a reduction in the working hours by 50% for a period of 120 days, by individual agreement and full salary payment?	MAJOR	The facility is not a participant in the Citizen Facility Program or the facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
NEW	SUPERIOR	2.9.15	Is there evidence that the facility did implement the +Women Employment Program (Emprega Mais Mulher) and offers a daycare reimbursement to the worker (man or woman) who has children up to 5 years and 11 months of age, in accordance with the Program, Law No. 14,457/2022?	MINOR	The facility is in one of the following conditions: <ul style="list-style-type: none"> • it has a daycare center; • it has less than 30 workers (female) over 16 years of age; or • the facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist. 	
2.10		2.10	Worked hours			
2.10.1	BASIC	2.10.1	Is there evidence that the facility complies with the working hours in accordance with labor law and Collective Bargaining Agreement? Or, if the facility is already fully adapted to e-Social, does it present the reports regarding the respective events (S-1050, S-2200, and S-2206)?	MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
2.10.2	BASIC	2.10.2	Is there evidence that the facility gives the workers the right to verify overtime? Are overtime and regular worked hours recorded on the same timecard and presented in the payslip? Or, if the facility is already fully adapted to e-Social, does it present the reports regarding the respective events (S-1050, S-2200, and S-2206)?	MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
2.10.3	BASIC	2.10.3	Is there evidence that the facility prohibits and controls the workers of the production area to carry out production activities at their homes?	CRITICAL		
NEW	BASIC	2.10.4	Is there evidence that the facility has a time bank program, in accordance with the labor law (established in an individual or collective agreement described in a Collective Bargaining Agreement)?	CRITICAL	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
2.10.4	SUPERIOR	2.10.5	Is there evidence that the facility allows the workers to record their entry and exit times? Or, if the facility is already fully adapted to e-Social, does it present the reports regarding the respective events (S-1050, S-2200, and S-2206)?	MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	

			their own working hours?	The facility is required to maintain a formal control of timecards and the workers do not register their own entry and exit times.	REQUIRED SILVER	and evaluated in item 1.4 of this checklist.
3 OCCUPATIONAL HEALTH AND SAFETY						
3.1		3.1	Working conditions and infrastructure			
3.1.1	BASIC	3.1.1	Is there evidence that the facility building has no imminent structural risks (deep cracks, wide holes, accentuated infiltrations, beams and structures at risk of collapsing)?	The problems encountered do not represent immediate risks, requiring corrective maintenance to avoid structural risks.	MAJOR	
				The problems encountered represent structural risks, which may present risks to the physical integrity of the workers and people who circulate around the facility.	CRITICAL	
3.1.2	BASIC	3.1.2	Is there evidence that the facility has its internal areas designed in such a way that the use of provisional installations is not observed?		MINOR	
3.1.3	BASIC	3.1.3	Is there evidence that the facility maintains its areas in good conditions of hygiene and cleaning?		MINOR	
3.1.4	BASIC	3.1.4	Is there evidence that the facility provides potable water for its workers?	The facility provides potable water; however, it does not present the quality assurance reports.	MINOR	
				The facility does not have maintenance records for the drinking fountains and/or the water supplied is inadequate.	MAJOR	
3.1.5	BASIC	3.1.5	Is there evidence that the facility has a ventilation, exhaustion and/or air conditioning system that are adequate for all tasks performed in each step of the process?	Most of the areas have adequate ventilation, exhaustion and/or air conditioning system.	MINOR	
				The facility does not have any ventilation, exhaustion and/or air conditioning system.	MAJOR	
3.1.6	BASIC	3.1.6	Is there evidence that the facility complies with the minimum requirements for building conservation and comfort in accordance with NR-08?		MINOR	
3.1.7	SUPERIOR	3.1.7	Is there evidence that the facility building structure and use are in accordance with the approved plan (for example, number of floors, number of buildings and roof)? And, does the building area match with the AVCB area (e.g. number of floors, number of buildings and roof)?		MAJOR	
3.1.8	SUPERIOR	3.1.8	Is there evidence that the building is for single occupancy? Are there no other CNPJs at the same address in one of the following situations: • sharing in a building or vertical structure; or • sharing in a horizontal structure as long as there is interconnection between one facility and other; or • if there is no physical separation between the facilities, with single access and exits?	The facility shares the building with other facilities, whether in the same segment or not, and these facilities comply with all the minimum requirements for joint occupancy, such as: a Fire Department license, which covers the entire building, operation of all facilities installed in the building, joint evacuation drill and <u>integrated fire alarm</u> .	MINOR	
				The facility shares the building with other facilities, whether in the same segment or not, and these facilities do not comply with all the minimum requirements for joint occupancy.	MAJOR	
3.1.10	SUPERIOR	3.1.9	Is there evidence that, when the facility provides a daycare center, the location and access to the daycare center is far from the production area, to ensure that children are safe and not exposed to any risks relating to the production environment?		MAJOR	The facility does not have a daycare center.
3.1.11	SUPERIOR	3.1.10	Is there evidence that the facility does not have a sandblasting process to clean or roughen surfaces?		REQUIRED SILVER	

3.2		3.2	Changing rooms and bathrooms			
3.2.1	BASIC	3.2.1	Is there evidence that the facility provides adequate ventilation to the changing rooms and bathrooms?	There is no ventilation in part of the changing rooms and bathrooms (occasional problem).	MINOR	
				There is no ventilation in most of the changing rooms and bathrooms (systemic problem).	MAJOR	
3.2.2	BASIC	3.2.2	Is there evidence that the facility provides toilets with seats and lids?	Most (more than half) of the toilets has seats and lids.	MINOR	
				Few toilets have seats and lids, or a latrine is used as toilet.	MAJOR	
3.2.3	BASIC	3.2.3	Is there evidence that the facility provides adequate number of toilets for its workers?		MINOR	
3.2.4	BASIC	3.2.4	Is there evidence that the facility provides products intended to personal hygiene, such as toilet paper, liquid soap, paper towels for hands or other hygienic system for drying hands and a trash can with a lid?		MINOR	
3.2.5	BASIC	3.2.5	Is there evidence that the facility provides separated changing rooms with doors duly identified, in accordance with the requirements of NR-24?	The changing rooms are separated by sex, but without identification.	MINOR	The facility does not have changing rooms and is not required to have them.
				The changing rooms are not separated by sex.	MAJOR	
3.2.6	BASIC	3.2.6	Is there evidence that the facility provides separated bathrooms with doors duly identified, in accordance with the requirements of NR-24?	The bathrooms are separated by sex, but without identification.	MINOR	
				The bathrooms are not separated by sex.	MAJOR	
3.2.7	BASIC	3.2.7	Is there evidence that, in accordance with NR-24, for the facility that requires changing rooms, lockers are provided for the individual storage of belongings in adequate number? Are the lockers in good condition and clean? For the facility that are is required to have changing rooms, does the facility assure the provision of lockers, lockable drawer or similar that allows the individual storage of the workers' personal belongings or a luggage storage service?		MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
3.3		3.3	Dining hall			
3.3.1	BASIC	3.3.1	Is there evidence that the facility has a place for meals, as recommended by NR-24?		MAJOR	
3.3.2	BASIC	3.3.2	Is there evidence that the facility has a place for meals and that it is clean and free of food residues?		MINOR	The facility does not have a place for meals and is not required to have it, in accordance with item 24.5.4 of NR-24.
3.3.3	BASIC	3.3.3	Is there evidence that the facility has a place for meals and that the meals, foods, perishables, dishes and pans are properly stored?		MINOR	The facility does not have a place for meals and is not required to have it, in accordance with item 24.5.4 of NR-24.
3.3.5	BASIC	3.3.4	Is there evidence that the facility has a place for meals that is separated from the production area?		MAJOR	The facility does not have a place for meals and is not required to have it, in accordance with item 24.5.4 of NR-24.
3.3.6	BASIC	3.3.5	Is there evidence that the facility has a kitchen for preparing meals, and that it complies with item 24.6 of NR-24?		MAJOR	The facility does not have a kitchen for preparing meals.
3.3.7	BASIC	3.3.6	Is there evidence that the facility has a gas cylinder system, such as LPG or other similar gas, installed in an external area, protected against the weather and having suitable fire extinguishers near the place?		MAJOR	The facility does not have a kitchen for preparing meals or the facility does not have LPG or other gas system.
3.4		3.4	Regulatory standards			

3.4.1	BASIC	3.4.1	Is there evidence that the facility establishes technical and legal requirements to install, maintain and operate boilers and pressure vessels in accordance with the requirements of NR-13?		MAJOR	The facility does not have boilers or pressure vessels.
3.4.2 Unfolded	BASIC	3.4.2	Is there evidence that the facility has machines, equipment, elevators and tables in satisfactory health and safety conditions, without adaptations or improvised structures?	Some machines, equipment and tables are in unsatisfactory health and safety conditions, without adaptations or improvised structures (except the elevators, which should be in satisfactory conditions).	MINOR	
				All machines, equipment, elevators and tables are in unsatisfactory health and safety conditions, or there are adaptations or improvised structures.	MAJOR	
3.4.3	BASIC	3.4.3	Is there evidence that the facility has and follows the PGR recommendations defined in accordance with all requirements of NR-01 and NR-09 and updated in continuous process continuous or every 2 (two) years or each 3 (three) years, if there is a certification? If the facility is ME or EPP and with risk 1 or risk 2 degree, does it comply with, in cumulative form, the requirements of NR-01 for exemption of a PGR?		MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
3.4.4	BASIC	3.4.4	Is there evidence that the facility has and follows the PCMSO recommendations defined in accordance with all occupational requirements and risks defined in NR-01 and NR-07 and updated in accordance with the analytical report? If the facility is ME or EPP and with risk 1 or risk 2 degree, does it comply with, in cumulative form, the requirements of NR-01 for exemption of a PCMSO?		MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
3.4.5	BASIC	3.4.5	Is there evidence that the facility, through a comparative analysis, has an updated ASO and includes all the exams provided for in the PCMSO for the functions considered?		MAJOR	The facility is exclusively composed by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
3.4.6	BASIC	3.4.6	Is there evidence that the facility establishes a CIPA (Internal Accident Prevention Commission) in accordance with NR-05? In the case where a CIPA is not required, is there a facility representative responsible for the health and safety issues?	A CIPA is established, but the criteria, meetings minutes and training are partially performed.	MINOR	When the facility is not required to have a CIPA.
				A CIPA is not established in accordance with NR-05, or it is not established by the Law No. 14,457/22.	MAJOR	
3.4.7	BASIC	3.4.7	Is there evidence that the facility provides seats for continuous work, where the worker may alternate between standing and sitting work (NR-17)? For activities in which work must be carried out standing up, are there seats with backrests for resting in places where they can be used by workers during breaks?		MINOR	
3.4.8	BASIC	3.4.8	Is there evidence that the facility provides seats at the workstations, and they comply with the minimum comfort and ergonomics requirements (NR-17)?	Less than 50% of the seats used do not comply with the minimum requirements.	MINOR	
				50% or more of the seats used do not comply with the minimum requirements.	MAJOR	
3.4.9	BASIC	3.4.9	Is there evidence that the facility provides adequate lighting for carrying out the activities?	When there is no adequacy in: • 50% or more of lighting in non-productive areas; or • 30% or more of lighting in environments in a production area.	MINOR	
				When there is no adequacy in: • 50% or more of lighting in non-productive areas; and • 30% or more of lighting in environments in a production area.	MAJOR	
3.4.2 Unfolded	BASIC	3.4.10	Is there evidence that the elevators are in satisfactory condition, in accordance with NR-11 and NBR 16,083/2012?		CRITICAL	The facility does not have elevators.

NEW	BASIC	3.4.11	Is there evidence that the facility complies with the quota of professionals with updated MTE registration, in accordance with the SESMT sizing table and complies with the requirements of NR-04?		MAJOR	The facility is not required to have professionals specialized in SESMT, in accordance with NR-04 or the facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
3.4.10	SUPERIOR	3.4.12	Is there evidence that the facility has workers involved in special tasks (such as: elevator load operator, forklift driver, boiler operator, electrician, loading platform operator, etc.) are duly licensed and trained?		REQUIRED SILVER	The facility does not have workers involved in special tasks.
3.4.11	SUPERIOR	3.4.13	Is there evidence that the facility has an AET (Ergonomic Work Analysis) in accordance with NR-17 and complies with all recommendations?	The facility has an AET and does not comply with all recommendations.	MINOR	The facility is not required to have an AET.
				The facility does not have an AET.	MAJOR	
3.5		3.5	Personal protective equipment (PPE)			
3.5.1	BASIC	3.5.1	Is there evidence that the facility does not have situations in which the workers are at risk situations without proper protection? Does the company have a PGR and fully comply with the requirements?		MAJOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
3.5.2	BASIC	3.5.2	Is there evidence that the facility provides adequate PPE, with CA (Certificate of Approval) label, duly replaced when necessary (in case of damage or loss) in accordance with NR-06, for its workers, and it has a PPE delivery form duly signed by the workers?	The facility provides PPE, but does not control it.	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
				The facility does not comply with all requirements of NR-06 related to PPE.	MAJOR	
NEW	BASIC	3.5.3	Is there evidence of the lack of use of Personal Protective Equipment (PPE), replacing the Collective Protection Equipment (EPC) necessary for greater employee protection, as defined by NR-12?		MAJOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
3.6		3.6	Handling of chemicals			
3.6.1	BASIC	3.6.1	Is there evidence that the facility provides FISPQs (SDSs/MSDSs) and that they are updated and available for all workers who handle chemicals, in the production areas, in accordance with Decree 10,088/2019? For the chemicals that already have FISPQs (SDSs/MSDSs), are these updated in accordance with NBR 14,725/2023?	The FISPQs are adequate, but they are not available for all workers who handle chemicals.	MINOR	The FISPQs are not required, or they are required, but the facility is within the deadline for the adaptation to NBR 14,725/2023.
				The facility does not have FISPQs available anywhere.	MAJOR	
3.6.2	BASIC	3.6.2	Is there evidence that the facility provides training to its workers to correctly respond to leakages or accidents with chemicals, and that the facility provides the necessary resources?		MINOR	The FISPQs are not required, or they are required, but the facility is within the deadline for the adaptation to NBR 14,725/2023.
3.6.3	BASIC	3.6.3	Is there evidence that the facility properly stores hazardous and flammable substances in an adequate area?	The facility stores hazardous and flammable substances in accordance with the minimum requirements, but they are not correctly labeled.	MINOR	The facility does not handle hazardous and flammable substances, or the facility is classified as a facility with a low quantity of flammable substances, in accordance with NR-20.
				All hazardous and flammable substances are not stored and kept properly.	MAJOR	
3.6.4	BASIC	3.6.4	Is there evidence that the facility keeps hazardous and flammable substances in suitable containers, expiration date and duly labeled?	There are hazardous or flammable substances found just out of date.	MINOR	The facility does not handle hazardous and flammable substances, or the facility is classified as a facility with a low quantity of flammable substances, in accordance with NR-20.
				Some hazardous or flammable substances are expired and/or in inadequate containers and/or without adequate labeling.	MAJOR	
3.6.5	BASIC	3.6.5	Is there evidence that the facility correctly handles chemicals in its production area?		MINOR	The facility does not handle chemicals.
3.6.6	SUPERIOR	3.6.6	Is there evidence that the facility provides CPE (Collective Protective Equipment), such as eyewash fountains, emergency showers, flags, exhaust fans, first aid kit, etc., in the places recommended by the FISPQs?		MAJOR	The facility does not have chemicals or the CPE is not required; or, the FISPQs are not required; or, the FISPQs are required, but the facility is within the deadline for the adaptation to NBR 14,725/2023.

3.6.7	SUPERIOR	3.6.7	Is there evidence that the facility stores chemicals (except hazardous flammable chemicals) in an adequate area and properly?	MAJOR	The facility does not handle chemicals.
3.6.8	SUPERIOR	3.6.8	Is there evidence that the facility performs and monthly updates the chemical inventory?	MINOR	The facility does not handle chemicals requiring a chemical inventory.
			The facility does not have a chemical inventory.	MAJOR	
NEW	SUPERIOR	3.6.9	Does the company use any products with chemical substances on the list of restricted substances in NBR 16787/2019?	MINOR	
4 EMERGENCY RESPONSE					
4.1		4.1	Electrical installations		
4.1.1	BASIC	4.1.1	Is there evidence that the facility has adequate electrical installations with no exposed or spliced wires, wrapped and seamless wires, visually inadequate connections, line filter or device approved by INMETRO, used correctly and circuit breakers and sockets protected and identified?	MAJOR	
4.1.2	BASIC	4.1.2	Is there evidence that the facility has an updated single-line diagram of the electrical installations, in accordance with item 10.2.3 of NR-10?	MAJOR	
4.1.3	BASIC	4.1.3	Is there evidence that the facility has a register of its electrical installations, which certifies that they meet the necessary safety conditions, including inspections, measurements, results of tests, etc., in accordance with item 10.2.4 of NR-10?	MAJOR	The facility has an installed load of less than 75 kVA.
4.1.4	BASIC	4.1.4	Is there evidence that the facility signalizes its areas presenting electrical hazards (high voltage) in accordance with NR-10?	MAJOR	
4.2		4.2	Fire prevention and firefighting		
4.2.1	BASIC	4.2.1	Is there evidence that the facility has a valid Fire Department Permit (AVCB)?	MINOR	The facility activities are classified as 'Low Risk' (as described in Annex 2 of this Manual).
			The facility has an expired AVCB, but has a renewal protocol within the deadline or still has an initial protocol.	MAJOR	
			The AVCB is expired, the facility does not have a requesting renewal protocol, or the facility has never had an AVCB, or the facility has never had an AVCB but has a requesting renewal protocol.	CRITICAL	
4.2.2	BASIC	4.2.2	Is there evidence that the facility has unobstructed and signalized exits, in accordance with the characteristics and definitions of the State law?	MAJOR	The facility activities are classified as 'Low Risk'.
4.2.3	BASIC	4.2.3	Is there evidence that the facility has adequate escape routes in accordance with the applicable criteria (including signalization, emergency lighting, non-obstruction and adequate spacing)?	MAJOR	The facility activities are classified as 'Low Risk'.
4.2.4	BASIC	4.2.4	Is there evidence that the facility has firefighting equipment in a good state of conservation, validity, adequate quantity and signalization and unobstructed?	MAJOR	
4.2.5	BASIC	4.2.5	Is there evidence that the facility has a trained fire emergency brigade and performs regular evacuation drills?	MAJOR	The facility is not required to have a fire emergency brigade, in accordance with the law.
4.2.6	SUPERIOR	4.2.6	Is there evidence that the facility has an evacuation map, visible at the strategic points (considering its dimensions), so that all workers and visitors have access to this information?	MINOR	
			The facility is classified as low risk (in accordance with the Annex 2 of this Manual) and does not have an evacuation map. The facility is classified as other than low risk (in accordance with Annex 2 of this Manual) and does not have an evacuation map.	MAJOR	

4.2.7	SUPERIOR	4.2.7	Is there evidence that the facility has fire doors and that they are in accordance with ABNT NBR-11742:2003)?	MINOR	The facility is not required to have a fire door.
5 VALIDATION OF THE PRODUCTION CHAIN					
5.1		5.1	Invoices		
5.1.1	BASIC	5.1.1	Is there evidence that the facility uses invoices among the production stages?	CRITICAL	
5.2		5.2	Supply chain monitoring (only applicable to suppliers)		
5.2.1	BASIC	5.2.1	Is there evidence that the facility has a process for selecting new subcontractors, regarding regular work?	MINOR	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
5.2.2	BASIC	5.2.2	Is there evidence that the facility has any formal document, such as Supply Contract, Commitment Term, or another document with the same degree of formality, signed by the subcontractors, considering the issues related to working conditions, as a condition for maintaining the commercial relations?	MINOR	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
5.2.3	BASIC	5.2.3	Is there evidence that the facility keeps an updated list, in electronic format, of all subcontractors with it maintains a commercial relation, with at least the following information: registration data (corporate name, address and CNPJ); type of service performed; and, conditions of formalization of the work (CLT)?	MAJOR	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
5.2.4	BASIC	5.2.4	Is there evidence that the facility carries out semi-annual monitoring of the activities of its current subcontractors related to regular work issues and requests a monthly copy of the INSS and FGTS bills? Or, if the facility is already fully to the e-Social, does it present the reports regarding the respective events (DCTFWeb, S-1200, and S-2299)?	MINOR	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
5.2.5	SUPERIOR	5.2.5	Is there evidence that the facility has formal contracts proving the relation with its subcontractors?	MAJOR	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
5.2.6	SUPERIOR	5.2.6	Is there evidence that the facility has a selection process of subcontractors using the "Dirty List" during the process?	MAJOR	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
5.3		5.3	Validation of the list of subcontractors (only applied to suppliers)		
5.3.1	BASIC	5.3.1	Is there evidence that the facility, during the traceability process of the orders of the signatory retailers, only uses declared and approved subcontractors? That is, there is not undeclared subcontractor that is approved in the Program ABVTEX?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made. The facility exclusively supplies to a signatory retailer that is in an adaptation period, as defined in the General Regulation of the ABVTEX Program, or the facility does not supply to any of the signatory retailers.
5.3.2	BASIC	5.3.2	Is there evidence that the facility, during the traceability process of the orders of the signatory retailers, does not use subcontractors not approved in the ABVTEX Program? That is, there is not undeclared subcontractor that is not approved in the ABVTEX Program.	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made. The facility exclusively supplies to a signatory retailer that is in an adaptation period, as defined in the General Regulation of the ABVTEX Program, or the facility does not supply to any of the signatory retailers.
5.3.3	BASIC	5.3.3	Is there evidence that the facility has the order number of the signatory retailer in the shipment/return invoices? This number may be the purchase order, model, internal reference, or supplier reference. For all orders, it should be verified if the official order number of the retailer (purchase order, part model, provider reference, etc.) is in all shipment/return invoices.	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made. The facility exclusively supplies to a signatory retailer that is in an adaptation period, as defined in the General Regulation of the ABVTEX Program, or the facility does not supply to any of the signatory retailers.
5.3.4	BASIC	5.3.4	Is there evidence that the facility has the official order received from the signatory retailer with the part description?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made. The facility exclusively supplies to a signatory retailer that is in an adaptation period, as defined in the General Regulation of the ABVTEX Program, or the facility does not supply to any of the signatory retailers.

5.3.5	BASIC	5.3.5	Is there evidence that the facility has return invoices (in chronological order) of all subcontractors used in its production process?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made.
5.3.6	BASIC	5.3.6	Is there evidence that the facility has shipment invoices (in chronological order) for all steps of the production process (when subcontracted)?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made.
5.3.7	BASIC	5.3.7	Is there evidence that the facility has shipment/return invoices with dates before the sale date to the signatory retailer?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made. The facility exclusively supplies to a signatory retailer that is in an adaptation period, as defined in the General Regulation of the ABVTEX Program, or the facility does not supply to any of the signatory retailers.
5.3.8	BASIC	5.3.8	Is there evidence that the facility proves that the quantity of produced parts is within the 10% margin regarding the order made by the signatory retailer (shipment x return)?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made. The facility exclusively supplies to a signatory retailer that is in an adaptation period, as defined in the General Regulation of the ABVTEX Program, or the facility does not supply to any of the signatory retailers.
5.3.9	BASIC	5.3.9	Is there evidence that the facility proves that the quantity of produced parts is equal to or greater than the quantity sold/delivered to the signatory retailer?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made. The facility exclusively supplies to a signatory retailer that is in an adaptation period, as defined in the General Regulation of the ABVTEX Program, or the facility does not supply to any of the signatory retailers.
5.3.10	BASIC	5.3.10	Is there evidence that the facility controls its internal and/or external production?	CRITICAL	The facility is characterized exclusively as a subcontractor.
5.3.12	BASIC	5.3.11	Is there evidence that the facility has, where there is triangulation, invoices with the corresponding tax code (CFOP 5924 OR 6924) and the mention "por conta e ordem do fornecedor", not characterizing an outsourcing?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made.
5.3.13	BASIC	5.3.12	Is there evidence that the facility presents purchase invoices for the inputs that compose the final product (for example, insoles, soles, and ornaments), when the process is not internally performed or by subcontractors?	CRITICAL	The facility is not a shoe supplier.
5.3.14	BASIC	5.3.13	Is there evidence that the facility and other facilities (subcontractors) in the same building are approved?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made.
5.3.15	BASIC	5.3.14	Is there evidence that the facility has a fiscal book and/or system for issuing invoices (of the last 3 months) for carrying out the traceability process?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both'). If the supplier indicates that has full internal production, this information should be verified by the auditor and a comment in the observation field should be made.
NEW	SUPERIOR	5.3.15	Is there evidence that the facility is not established and it does not operate only as a representative office (national or imported products), without internally having any production or product transformation process, with outsourcing of all production stages?	REQUIRED GOLD	The facility exclusively supplies imported finished products with internal logistics processes or it is a logistics operator.
5.4		5.4	Subcontracting services (only applied to subcontractors)		

5.4.1	BASIC	5.4.1	Is there evidence that the facility does not outsource to another subcontractor approved in the ABVTEX Program?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
5.4.2	BASIC	5.4.2	Is there evidence that the facility does not outsource to another subcontractor NOT approved in the ABVTEX Program?	CRITICAL	The facility is exclusively characterized as subcontractor or the supplier does not have subcontractors (even if it is classified as 'Both').
6 TRANSPARENCY AND MANAGEMENT PRACTICES					
6.1	SUPERIOR	6.1	Is there evidence that the facility provides training for its workers on the Code of Conduct of the Program ABVTEX?	MAJOR	
6.2	SUPERIOR	6.2	Is there evidence that the facility has a designated worker responsible for the facility management systems (HR, Health and Safety, Environment) and is he or she responsible for handing the improvements identified during the audit?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.3	SUPERIOR	6.3	Is there evidence that the facility has its own policies covering, at least, the following topics: anti-corruption; anti-discrimination; child labor; forced labor; freedom of association; abuse and harassment; working hours; and, salaries and benefits?	MAJOR	
6.4	SUPERIOR	6.4	Is there evidence that the facility has written policies, procedures and processes that are effectively communicated through the appropriate means of dissemination (for example, worker manual, board of messages, newsletter, regular meetings, etc.)?	MAJOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.5	SUPERIOR	6.5	Is there evidence that the facility has a master list with all documents used in its management systems? Do the documents have a date of the last version consistent with the documents used during the audit?	MINOR	
6.6	SUPERIOR	6.6	Is there evidence that the facility adopts internal disciplinary practices for the leadership and workers when the internal policies are not complied with?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.7	SUPERIOR	6.7	Is there evidence that the facility has a denouncement channel or complaint system that is effective, accessible, equitable, transparent and confidential to resolve industrial disputes and deal with the workers complaints?	REQUIRED GOLD	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.8	SUPERIOR	6.8	Is there evidence that the facility conducts regular internal audits to evaluate its performance and results, identify the root causes of nonconformities or discrepancies and correct them accordingly (for example, updating policies and processes, providing training, etc.)?	MINOR	
6.9	SUPERIOR	6.9	Is there evidence that the facility provides occupational health and safety training before the worker starts working, refreshment when necessary, and documents to prove the conformity?	MAJOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.10	SUPERIOR	6.10	Is there evidence that the facility has records to control its accidents? Are injury and incident records available and are all significant accidents and incidents duly investigated, reported and actions correctives adopted to minimize the repetition?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist, or the facility did not have accidents in the last year.
6.11	SUPERIOR	6.11	Is there evidence that the facility has a communication channel to its workers (for example, hotline, board of messages, internal newspaper, etc.)?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.12	SUPERIOR	6.12	Is there evidence that the facility has a plan to evaluate and handle the worker complaints?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.13	SUPERIOR	6.13	Is there evidence that the facility provides an opportunity, when the solution of complaints/grievances fails, for a critical analysis by the senior management, and are the workers entitled to respond and/or appeal of the decision?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.14	SUPERIOR	6.14	Is there evidence that the facility managers and supervisors are responsible for ensuring that there are no negative consequences for the workers who report complaints and/or denouncements?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.15	SUPERIOR	6.15	Is there evidence that the facility does not retaliate when it receives complaints and denouncements?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.
6.16	SUPERIOR	6.16	Is there evidence that the facility, when adopting a disciplinary action against a worker, informs and delivers a written document to him or her, and is this disciplinary action kept in the personal worker file?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.

6.17	SUPERIOR	6.17	Is there evidence that the facility publicizes and/or makes available to its workers' information regarding the category salary, minimum legal salary and other relevant information of the Collective Bargaining Agreement?	MINOR	The facility is constituted exclusively by partners, legally and in accordance with what is specified and evaluated in item 1.4 of this checklist.	
7 ENVIRONMENT						
7.1		7.1	Licenses			
7.1.1	BASIC	7.1.1	Is there evidence that the facility has an environmental license, or it is exempt?	The facility has a renewal protocol that is not within the tolerance period, and it does not comply with state or municipal law.	MINOR	The facility is not required to have an environmental license, or it does not carry out potentially pollutant activity in accordance with the applicable law.
				The facility does not present an environmental license, or its exemption.	MAJOR	
7.1.2	BASIC	7.1.2	Is there evidence that the facility complies with the conditions of the environmental license, or it complies with the conditions described in the exemption?	MAJOR	The facility is not required to have an environmental license and presented a waiver without conditions.	
7.1.3	BASIC	7.1.3	Is there evidence that the facility has the necessary documents for acquisition, storage and use of controlled products (Federal Police and Civil Police)?	The facility uses controlled products in its process and presents an expired mandatory license, but with a renewal protocol.	MINOR	The facility does not use hazardous or controlled products.
				The facility does not have licenses or the licenses are expired without a renewal protocol.	MAJOR	
7.1.4	BASIC	7.1.4	Is there evidence that the facility has an MTR (Manifest of Waste Transport), CADRI (for the state of São Paulo) and Certificate of Final Destination of the generated waste?	The facility has a CADRI and MTR renewal protocol.	MINOR	The facility does not generate waste that requires CADRI, MTR and Certificate of Final Destination in accordance with state or municipal law, or the collection of non-hazardous waste is municipal.
				The facility does not have an MTR, Certificate of Final Destination and CADRI, or CADRI is expired.	MAJOR	
7.1.5	BASIC	7.1.5	Is there evidence that the facility has a grant to catch water?	The facility is required to present a grant, but it is expired, and the facility has a renewal protocol.	MINOR	The facility does not capture water (artesian wells, rivers, lakes, seas, etc.).
				The facility is required to present a grant, but it does not have one, or it is expired without a renewal protocol.	MAJOR	
7.1.6	BASIC	7.1.6	Is there evidence that the facility has CTF-IBAMA when it has a potentially polluting activity (APP)?	MINOR	The facility is not required to have CTF-IBAMA.	
7.1.7	BASIC	7.1.7	Is there evidence that the facility has a boiler duly licensed by the competent environmental agency?	MAJOR	The facility does not have a boiler.	
7.2		7.2	Management and general aspects			
7.2.1	SUPERIOR	7.2.1	Is there evidence that the facility has a person responsible for the facility environmental management?	The facility does not have a responsible person for all relevant environmental areas, but has a focal point for the environmental issues.	MINOR	
				The facility does not have a responsible person for the environmental management.	MAJOR	
7.2.2	SUPERIOR	7.2.2	Is there evidence that the facility has formalized policies and procedures for environmental issues, and that the facility applies and disseminate them?	MINOR		
7.2.3	SUPERIOR	7.2.3	Is there evidence that the facility monitors its sources of fugitive emissions (air-conditioning system, CO2 extinguishers, etc.) from its operations?	MINOR	The facility does not have air-conditioning system and CO2 extinguishers.	
7.2.4	SUPERIOR	7.2.4	Is there evidence that the facility treats its domestic effluent, in accordance with the state law?	MAJOR		
NEW	SUPERIOR	7.2.5	Is there evidence that the facility monthly monitors its water consumption and has targets for reducing it?	MINOR		
NEW	SUPERIOR	7.2.6	Is there evidence that the facility monitors its energy consumption and has targets for reducing it?	MINOR		

NEW	SUPERIOR	7.2.7	Is there evidence that the facility has environmental fines?		REQUIRED GOLD	
7.3		7.3	Wastes			
7.3.1	SUPERIOR	7.3.1	Is there evidence that the facility has a PGRS (solid waste management plan)?		MAJOR	The facility does not develop industrial activity, only generates non-hazardous, domestic or equivalent to domestic waste.
7.3.2	SUPERIOR	7.3.2	Is there evidence that the facility carries out and (monthly) updates the solid waste inventory?	The facility has the inventory, but the facility does not update it monthly and the facility does not belong to or have processes of the "Wet Group".	MINOR	The facility does not develop industrial activity, only generates non-hazardous, domestic or equivalent to domestic waste.
				The facility does not comply with one of the following conditions: <ul style="list-style-type: none"> the facility presents the inventory, but the facility does not update it monthly and the facility belongs to or has processes of the "Wet Group"; the facility does not present the inventory and the facility does not belong to or have processes of the "Wet Group". 	MAJOR	
				The facility has the inventory and belongs to or has processes of the "Wet Group".	REQUIRED SILVER	
7.3.3	SUPERIOR	7.3.3	Is there evidence that the facility stores its wastes properly?	The facility does not store its wastes correctly; however, the facility does not have hazardous wastes, in accordance with NBR-10,004.	MAJOR	The facility generates only domestic waste.
				The facility does not store its wastes correctly and has hazardous wastes, in accordance with NBR-10,004.	REQUIRED SILVER	
7.3.4	SUPERIOR	7.3.4	Is there evidence that the facility has facilities that carry out transport and final destination of its hazardous wastes, with a valid Operating License from the competent environmental agency?	The facility does not have a license, but it presents a valid protocol.	MINOR	The facility does not generate hazardous wastes.
				The facility does not have a license or valid protocol.	MAJOR	
7.3.5	SUPERIOR	7.3.5	Is there evidence that the facility burns waste in its boiler with authorization from the competent body?		MAJOR	The facility does not have a boiler or does not burn waste in its boiler.
7.3.6	SUPERIOR	7.3.6	Is there evidence that the facility does not burn waste in the open?		MAJOR	
NEW	SUPERIOR	7.3.7	Is there evidence that the facility carries out waste sorting (organic, industrial, paper, metals, etc.)?		MINOR	The facility is exempted by the state environmental agency, in accordance with the regulations of the environmental agency of each state and/or region.
		7.4	Effluents			
7.4.1	SUPERIOR	7.4.1	Is there evidence that the facility has a permit for disposing of its effluent?	The facility has a renewal protocol or permit request within the legal period.	MINOR	The facility does not generate industrial effluent or does not dispose of its treated effluent.
				The facility does not have a permit, or does not have a protocol within the legal period.	MAJOR	
7.4.2	SUPERIOR	7.4.2	Is there evidence that the facility has an effluent treatment station (on site or outsourced, duly licensed)?	The facility presents the analysis, but does not comply with the law.	MINOR	The facility does not generate industrial effluent.
				The facility generates industrial effluent and does not perform any type of treatment, or does not present any municipal contract, or a contract with an outsourced facility.	REQUIRED SILVER	

7.4.3	SUPERIOR	7.4.3	Is there evidence that the facility complies with the effluent release standards as established in the operating license or by the outsourced facility?	The facility presents the analysis, but does not comply with the law.	MINOR	The facility does not generate industrial effluents.
				The facility does not develop analysis.	REQUIRED SILVER	
7.4.4	SUPERIOR	7.4.4	Is there evidence that the facility has, when the effluent treatment station fails, a backup option to avoid environmental damages?		MINOR	The facility does not generate industrial effluents or uses an outsourced treatment station (municipal or private).
7.4.5	SUPERIOR	7.4.5	Is there evidence that the facility ensures that there is no risk of contamination of soil or water bodies due to transshipment of solid waste removed from the effluent treatment station?		REQUIRED SILVER	The facility does not generate industrial effluents or uses an outsourced treatment station (municipal or private).
7.4.6	SUPERIOR	7.4.6	Is there evidence that the facility has, when the analysis does not comply with the parameters, an action plan to investigate them?		MINOR	The facility does not generate industrial effluents or uses an outsourced treatment station (municipal or private).
7.5		7.5	Atmospheric releases			
7.5.1	SUPERIOR	7.5.1	Is there evidence that the facility uses an approved fuel in the boiler to generate steam?		MAJOR	The facility does not have a boiler.
7.5.2	SUPERIOR	7.5.2	Is there evidence that the facility has atmospheric releases complying with the standards and release limits approved in the operating license or specific authorization?		REQUIRED SILVER	The facility does not have a boiler, or the environmental license does not require standards and release limits for atmospheric releases.
NEW	SUPERIOR	7.5.3	Is there evidence that the facility monitors its greenhouse gases (GHGs) emissions?		MINOR	The facility is exclusively characterized as a subcontractor.